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## On the stability of the track of the space elevator

Nicola Pugno<sup>a</sup>, Michael Schwarzbart<sup>b</sup>, Alois Steindl<sup>b</sup>, Hans Troger<sup>b,\*</sup><sup>a</sup>*Department of Structural Engineering, Politecnico di Torino, Torino, Italy*<sup>b</sup>*Institute of Mechanics and Mechatronics, Vienna University of Technology, Vienna, Austria*

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### Abstract

A string moving with geostationary angular velocity in its radial relative equilibrium configuration around the Earth, reaching from the surface of the Earth far beyond the geostationary height, could be used as track for an Earth to space elevator. This is an old dream of mankind, originating about 100 years ago in Russia. Besides the question of feasibility from a technological point of view also the question concerning the stability of such a configuration has not yet been completely solved. Under the assumption that a proper material (carbon nanotubes) is available, making the connection possible technologically, we address the question of existence and stability of the radial relative equilibrium of a tapered string on a circular geosynchronous trajectory around the Earth, reaching from the surface of the Earth far beyond the geostationary height.

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### 1. Introduction

One of the main problems of modern space exploration and space technology is the high cost of sending a payload from the surface of the Earth into space. Depending on the destination in space in the year 2000 these costs were about  $10^4$ – $10^6$  US Dollars for 1 kg of payload, because in order to carry the payload, rockets have to move a multiple of the amount of the payload due to their own weight and the necessary fuel. Hence for a long time there have been other ideas around for a cheaper way of transporting payloads into a space

orbit. The most promising was proposed in 1960 by Artsutanov [1] to build a celestial elevator from the surface of the Earth to a satellite in geostationary orbit by hanging down a string from the satellite to the surface of the Earth (Fig. 1). Such a string in its radial configuration could be used as track for a space elevator to provide easy access to a space orbit. This idea was investigated for its feasibility by Isaacs et al. [2] for a string with constant cross-section and by Pearson [3] for a mass-optimized string with tapered cross section. Both investigations came to the conclusion that at the time of the investigation no material was available to realize such a connection.

Artsutanov's idea is based on the fact that a massive string moving on a circular trajectory around the Earth, under the action of gravitational and centrifugal accelerations, finally will reach a relative equilibrium position, which is its stretched radial position. In this equilibrium position the string is under tension [4]. To explain this

\* Corresponding author. Tel.: +43 1 5880132550;

fax: +43 1 5880132598.

E-mail addresses: [nicola.pugno@polito.it](mailto:nicola.pugno@polito.it) (N. Pugno),[Michael.Schwarzbart@tuwien.ac.at](mailto:Michael.Schwarzbart@tuwien.ac.at) (M. Schwarzbart),[Alois.Steindl@tuwien.ac.at](mailto:Alois.Steindl@tuwien.ac.at) (A. Steindl), [Hans.Troger@tuwien.ac.at](mailto:Hans.Troger@tuwien.ac.at)

(H. Troger).

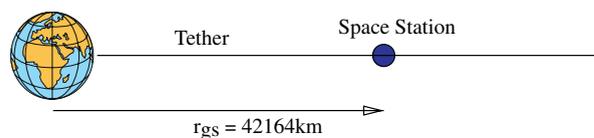


Fig. 1. String connecting a satellite in geostationary orbit with the surface of the Earth. As counterweight another string must be deployed outside the geostationary height. For a minimum weight design its length is given in Fig. 6.

we note that the motion center, which is defined by the equality of centrifugal and gravitational accelerations, is located at the geostationary orbit (one revolution/sideric day). The motion center is different from the center of mass and the center of gravity of the string. For a mass element of the string located below the geostationary radius the value of the gravitational acceleration acting on it is larger than the value of the corresponding centrifugal acceleration and for a mass element above the geostationary radius the value of the centrifugal acceleration is larger than that of the gravitational acceleration. Thus below the geostationary height the net force acting at a string element is pointing towards the Earth and above the geostationary height the net force is pointing away from the Earth. Decomposing these forces into a component in the direction of the straight line connecting these two elements and perpendicular to this line, results that the string is under tension and further a moment is created turning the string into the radial direction, as it is depicted for a dumb-bell satellite, which is a system of two point masses connected by a massless rigid rod, in Fig. 2. This intuitive reasoning convinces some scientists that the radial configuration is stable.

In order to obtain a minimum-weight design the shape of the string must be tapered, such that in each cross-section the maximum admissible stress is reached. This results in a shape, where the string is thickest at the point that would have the highest tension in the case of constant cross-section, which is at the geosynchronous radius, and thinnest where the tension is lowest, namely at its ends (Fig. 6).

Of course, one has to compensate for the weight of the tapered string hanging down. If this is done by another string extending outward from the geostationary radius (35 863 km altitude above the surface of the Earth (Fig. 1)), the length of this string must be several times the length to the geostationary orbit [5], if this part of the string is also designed for minimum weight (see also Fig. 6). From a technical point of view, placing a counterweight at the far end of a shorter string, once the inner end is fixed, could simplify the construction and

will also result in a radial configuration reaching from the surface of the Earth moving with geostationary angular velocity. However, extending the string beyond the geostationary height is very important for the application as satellite launcher, because if a payload is placed on the string in a position farther away from the Earth than the geosynchronous height, simply by separating the payload from the string, it can be launched into a larger elliptical orbit or even out of the Earth gravitational field to perform interplanetary missions [3].

Until 1991 all these ideas were purely academic, since no material was available to realize such a project. However, at that time so-called “carbon nanotubes” were discovered by Iijima [6]. These are fullerenes, that is cylindrical macromolecules composed of carbon atoms, which are formed from graphenes, which are flat periodical hexagonal lattices with the thickness of the size of an atom. Also experimental data have been provided by Ruoff and his coworkers in his pioneering work performing tension tests of nanotubes [7,25]. Single walled nanotubes have been produced with a diameter of a few nanometers ( $1 \text{ nm} = 10^{-9} \text{ m}$ ) and a length of the order of meters [8]. Hence so far an aspect ratio of order  $10^9$  has been reached. Single walled nanotubes form the building block of multi walled nanotubes. Moreover, it is conceived to have bundles of nanotubes, from which one can expect to form nanoropes or nanosheets by a technological process similar to that of weaving a textile. For the perfect nanotubes such a structure may have a theoretical strength 100 times higher than steel, but with only one-sixth of the weight of steel. Moreover, besides their extreme strength, carbon nanotubes also allow large strains up to 16–24%.

The ratio between tensile strength and density is crucial for the taper ratio of the string, that is, the ratio between the area of the cross section of the string at the geosynchronous orbit to the area of the cross section at the surface of the Earth. For example, from the calculations performed in Edwards [5], the taper ratio required for steel would be  $1.7 \times 10^{33}$ , for Kevlar  $2.6 \times 10^8$  and for carbon nanotubes 1.5. Our calculations, which have been performed for endmasses on each side of the string of 1 kg, resulted in ratios between the cross-sectional area at the geostationary height to the area at the surface of the Earth, as can be seen from Fig. 6, of 1.40 for  $\sigma_c = 150 \text{ GPa}$  and 2.41 for  $\sigma_c = 65 \text{ GPa}$ . The second value uses the strength, which has been measured in experiments, since the theoretical strength cannot be expected to hold for a technical realization. The reason is, that defects in the atomic lattice result in a reduction of the strength and also of Young’s modulus. However, there are no data reported concerning the

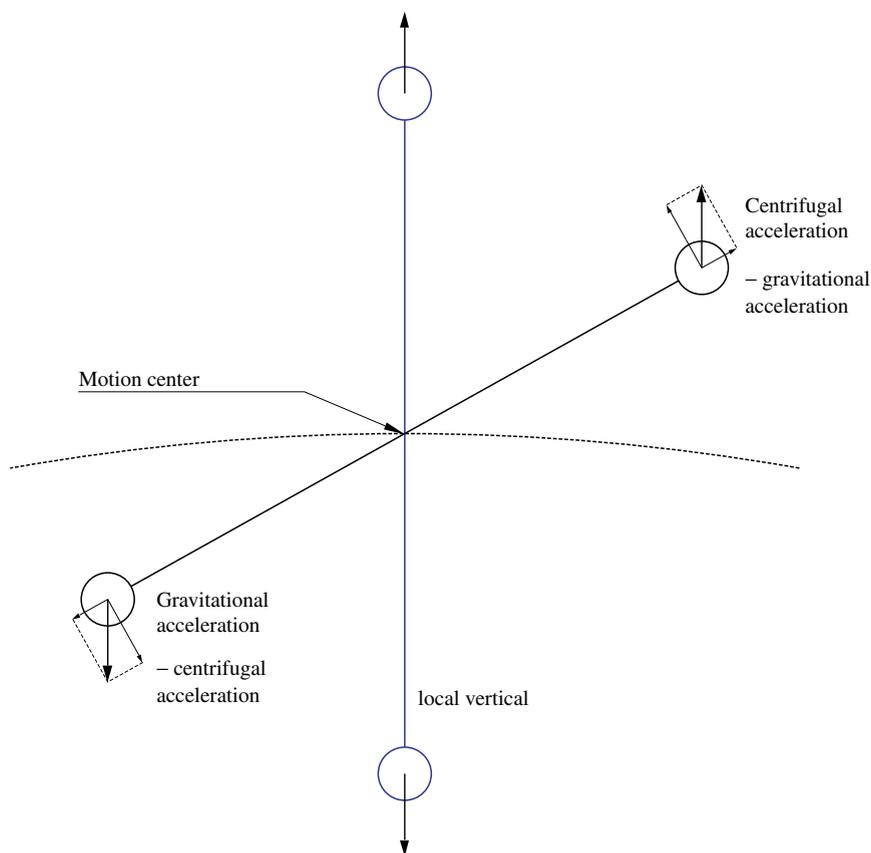


Fig. 2. Dumb-bell satellite consisting of two point masses connected by a massless rigid rod. Its motion center moves on the geostationary orbit.

decrease of the value of the Young's modulus. Hence we include Appendix A, where we investigate, what can be realistically expected concerning strength and elasticity modulus in a technical realization [9].

A careful, practically relevant investigation of the stability of the system's relative equilibrium would have to take into account various perturbations, such as the gravitational attraction of the moon, atmospheric drag and payloads moving up and down the string. These effects and others have been addressed in Isaacs et al. [2] and Pearson [3]. Interestingly enough the simpler question of stability of the radial relative equilibrium position of a long unperturbed string in the spherical symmetric Newtonian gravitational field has not been given much attention. However, this stability cannot be taken for granted in any case, because in Beletsky and Levin [4] and Krupa et al. [10] it is shown that a dumb-bell satellite possesses a stable radial relative equilibrium position only if the distance between the two masses is significantly smaller than the radius of the orbit. This loss of stability is an orbital instability

[10], which means that perturbations of the orbital radius grow, whereas perturbations of the attitude are still stable. It is caused by the strong nonlinearity of the gravitational field close to the center of the orbit.

In [11] it is shown that a pure continuous massive string, which may form the track of the space elevator, does not have a stable radial relative equilibrium position. This does not have significant consequences for the track since adding a satellite at the geostationary height, which practically will be the case, will have a stabilizing effect. Hence we also calculate the mass of a satellite at the geostationary height, which would be necessary to stabilize the radial configuration.

The calculations performed in [11] are improved, especially for the data, which we have calculated in Appendix A. The stability of the relative equilibrium is evaluated by the reduced energy momentum method (REMM) (see [12–15]). The REMM is a generalization of Routh's method as presented for example in Karapetyan and Romyantsev [16]. We note that for cases considered in this paper, where cyclic coordinates are

present, the REMM reduces to Routh’s method. However, the REMM can be also applied, if non-cyclic coordinates are used. Applications of the REMM to tethered satellite systems are given in Wang et al. [17] and Krupa et al. [18].

### 2. Reduced energy momentum method (REMM)

The REMM is the proper mathematical method to investigate the stability of relative equilibria in symmetric Hamiltonian systems. Mathematically speaking, a relative equilibrium is a solution, whose orbit coincides with an one parameter group orbit of the symmetry group of the system. For the problem treated in this paper the symmetry group is the planar rotation group. In engineering language a relative equilibrium is an equilibrium in a properly moving (in this case rotating) coordinate frame. Whereas for nonsymmetric Hamiltonian systems the stability test according to Lagrange–Dirichlet requires for an equilibrium to be stable, that the second variation of the potential  $V(\mathbf{q})$  must be positive definite, in the symmetric case the situation is more complicated. Now the invariance against the symmetry group motion (rotation) and consequently the existence of additional conserved quantities must be included in the analysis by forming the so-called amended potential  $V_{\mu_0}$  defined by

$$V_{\mu_0}(\mathbf{q}) = V(\mathbf{q}) + \frac{1}{2} \boldsymbol{\mu}_0 \cdot \mathcal{J}^{-1}(\mathbf{q}) \boldsymbol{\mu}_0. \quad (1)$$

Here  $\mathcal{J}(\mathbf{q})$  is the “locked inertia tensor”, which is a generalization of the inertia tensor of the rigid body motion obtained by locking the deformability in the configuration  $\mathbf{q}$  obtained from the group motion. The conserved quantity  $\boldsymbol{\mu}_0$  is the angular momentum for the relative equilibrium configuration.

In order to avoid taking the second derivative of the inverse of the locked inertia tensor in Eq. (1) for the calculation of the second variation of  $V_{\mu_0}$ , it is convenient to make use of the expression

$$\mathbf{D}^2 V_{\mu_0}(\mathbf{q}_0)(\delta\mathbf{q}, \delta\mathbf{q}) = \mathbf{D}^2 V_{\xi_0}(\mathbf{q}_0)(\delta\mathbf{q}, \delta\mathbf{q}) + \mathbf{ident}_{\xi_0}(\delta\mathbf{q}) \cdot \mathcal{J}^{-1}(\mathbf{q}_0) \mathbf{ident}_{\xi_0}(\delta\mathbf{q}), \quad (2)$$

where

$$\mathbf{ident}_{\xi_0}(\delta\mathbf{q}) := -(\mathbf{D}\mathcal{J}(\mathbf{q}_0) \cdot \delta\mathbf{q}) \cdot \xi_0, \quad (3)$$

and

$$V_{\xi}(\mathbf{q}) = V(\mathbf{q}) - \frac{1}{2} \xi \cdot \mathcal{J}(\mathbf{q}) \xi \quad (4)$$

is the augmented potential.  $\xi$  is the angular velocity. The subscript 0 indicates that the quantities in Eq. (3) are evaluated at the relative equilibrium.

For a relative equilibrium to be stable the second variation given by Eq. (2) must be positive definite.

### 3. Simple model: dumb-bell satellite

In order to show the necessary steps we consider first the dumb-bell satellite of Fig. 3, treated in Krupa et al. [10]. It consists of two point masses connected by a massless rigid rod of length  $2d$ .

The expressions necessary to evaluate the stability condition of the radial relative equilibrium for the dumb-bell satellite are the kinetic and potential energies

$$T = m(\dot{r}^2 + r^2\dot{\vartheta}^2 + d^2(\dot{\vartheta} + \dot{\varphi})^2),$$

$$V = -\frac{km}{\sqrt{r^2+2rd \cos \varphi+d^2}} - \frac{km}{\sqrt{r^2-2rd \cos \varphi+d^2}},$$

where  $k$  is the gravitational constant of the Earth. The locked inertia tensor is given by [10]

$$\mathcal{J}(\mathbf{q}) = 2m(r^2 + d^2). \quad (5)$$

The augmented potential (4), expressed by the angular velocity  $\dot{\vartheta} = \xi$  reads

$$V_{\xi} = V - m(r^2 + d^2)\xi^2$$

and the amended potential (1) expressed by angular momentum  $\boldsymbol{\mu}_0 = \mathcal{J}\xi$  is given by

$$V_{\mu_0} = V + \frac{\mu_0^2}{4m(r^2 + d^2)}.$$

The radial equilibrium position is stable, if  $V''_{\mu_0}$  is positive definite. It is shown in [10] that the matrix of the second derivative of  $V_{\mu_0}$  block diagonalizes and that the part related to the variation of  $\varphi$  is positive definite. Hence we obtain as deciding quantity for the stability of the radial relative equilibrium position [10]

$$\frac{\partial^2 V_{\mu_0}}{\partial r^2} = \frac{2((r/d)^4 - 10(r/d)^2 + 1)}{((r/d)^2 - 1)^3} > 0.$$

For  $r/d < \sqrt{5 + 2\sqrt{6}} \approx 3.14626$  the second derivative  $\partial^2 V_{\mu_0} / \partial r^2$  becomes negative. This means that fixing the radius  $r$  of the orbit and increasing the distance  $2d$  between the two masses beyond the given value, results in the instability of the radial relative equilibrium position. In Fig. 4 the motion of the dumb-bell satellite after loss of stability of the radial relative equilibrium position in circular orbit around the Earth is shown. It can be clearly seen that, as also demonstrated by the

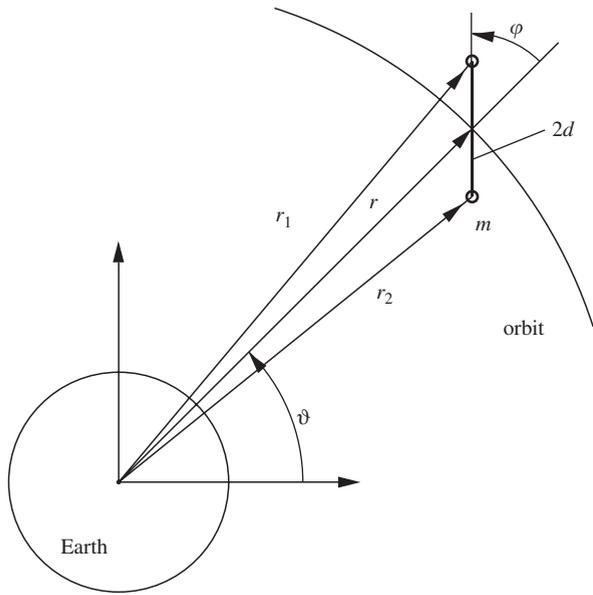


Fig. 3. Planar motion of a dumb-bell satellite of length  $2d$  on a circular orbit around the Earth.

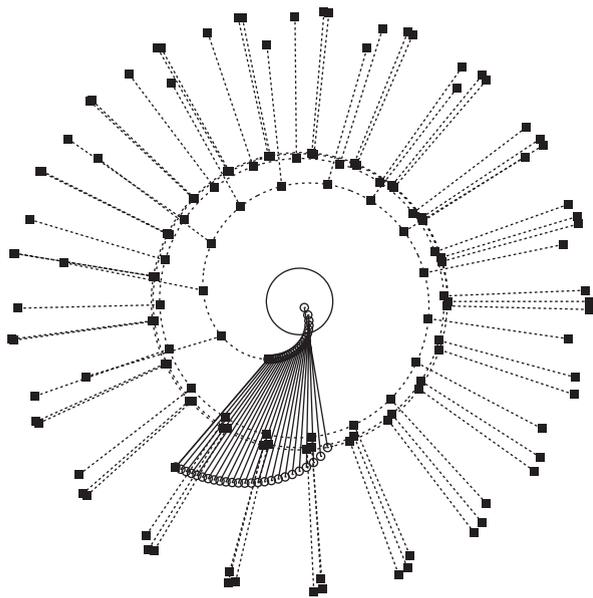


Fig. 4. Motion of a dumb-bell satellite after loss of stability of the radial relative equilibrium position due to an increase of its length beyond the critical length. The small circle inside indicates the Earth.

REMM, the instability is an orbital instability. Depending on the perturbation, which supplies the initial condition for the motion it goes either inside the orbital circle as depicted in Fig. 4 or outside.

From this example we draw the following conclusions, which will be used to simplify the following analysis of the continuous problem:

- (1) Only the planar problem has to be considered. This is justified by the analysis given in Krupa et al. [10,18], where it is shown that for small deviations the perturbation out of the orbital plane completely decouples and is always stable.
- (2) Moreover, it follows both from the general theory of the REMM, where a block diagonalization of the second derivative is predicted, and our calculations, that also the stability analysis of the angular motion (attitude motion) of the string in the orbital plane and of the radial motion decouple and hence, as it is shown above, only the radial variation results in the relevant stability condition.

#### 4. Continuous string model

We consider now the system shown in Fig. 5 consisting of masses  $m_0$  at the inner radius  $r_0$ ,  $m_1$  at the outer radius  $r_1$ , and the satellite (space station) with  $m_s$  at the geostationary orbit  $r_{gs} = r(s_{gs})$ , connected by a linearly elastic massive (density  $\rho$ ) string.

For the application of the REMM to the continuous model we need the potential and kinetic energy terms. The potential energy  $V = U + W$  consists of the strain energy  $U$  and the gravity potential  $W$ . The strain energy of a linearly elastic string

$$U = \int_{s_0}^{s_1} \frac{1}{2} EA \varepsilon^2 d\sigma = \int_{s_0}^{s_1} \frac{EA}{2} (|r'(\sigma)| - 1)^2 d\sigma, \quad (6)$$

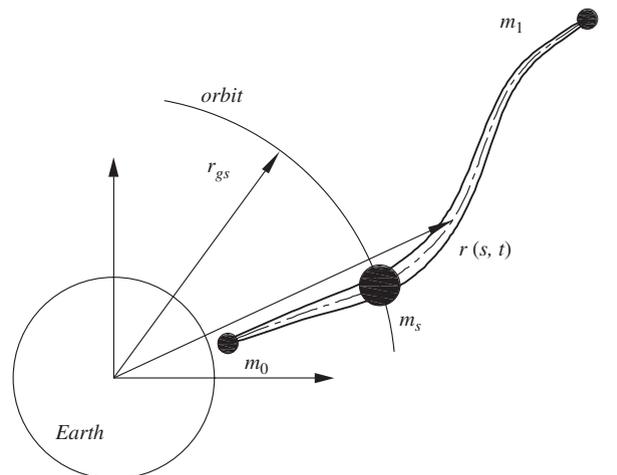


Fig. 5. Sketch of the continuous system.

where the strain  $\varepsilon$  expressed by the position vector  $\mathbf{r}(s, t)$  is given by

$$\varepsilon = \frac{d\bar{s} - ds}{ds} = \eta - 1 = |\mathbf{r}'| - 1. \quad (7)$$

Here  $\bar{s}$  and  $s$  denote the strained and unstrained arclength, respectively.  $\eta$  is called elongation. The last equality in Eq. (7) follows from the fact that the tangent vector

$$\mathbf{t} = \frac{\partial \mathbf{r}}{\partial \bar{s}} = \frac{\partial \mathbf{r}}{\partial s} \frac{\partial s}{\partial \bar{s}} = \mathbf{r}' \frac{1}{\eta} \quad (8)$$

is a unit vector. The gravity potential is given by

$$W = - \int_{s_0}^{s_1} \frac{k \varrho A}{|\mathbf{r}(\sigma)|} d\sigma - \frac{m_0 k}{|\mathbf{r}(s_0)|} - \frac{m_1 k}{|\mathbf{r}(s_1)|} - \frac{m_s k}{|\mathbf{r}(s_{gs})|}. \quad (9)$$

The locked inertia tensor is given by

$$\begin{aligned} \mathcal{J} = & \int_{s_0}^{s_1} \varrho A r^2(\sigma) d\sigma + m_0 \mathbf{r}^2(s_0) \\ & + m_1 \mathbf{r}^2(s_1) + m_s \mathbf{r}^2(s_{gs}). \end{aligned} \quad (10)$$

Therefore the *amended potential* for the continuous model is

$$V_{\mu_0} = V + \frac{\mu_0^2}{2\mathcal{J}}. \quad (11)$$

Following the conclusions drawn from the treatment of the dumb-bell satellite at the end of the preceding section we can simplify the stability problem by using the mirror reflection symmetry about the radial configuration. This means that for the determination of the stability we only have to calculate the second variation of the amended potential with respect to the radial coordinate. However, we are treating now a continuous system and it cannot be taken for granted without proof that the radial equilibrium position is stable w.r.t. lateral variations. Hence, before we continue as indicated, we show that the purely radial configuration satisfies the equilibrium equations and that the radial state is stable against lateral perturbations.

#### 4.1. Attitude stability

In order to prove the positive definiteness of Eq. (11) with respect to lateral perturbations, we first simplify the problem by stating the following assumptions:

(H1) At the geostationary orbit there is no lateral deviation from the equilibrium configuration. Since a

pure rotation of the configuration around the center of the Earth does not change the potential, arbitrary lateral deviations at the geostationary orbit can be eliminated by proper rotations of the system. Due to this assumption we may also neglect the contribution of the mass  $m_s$ .

(H2) We only consider lateral perturbations to the attitude stability, which do not change the length of the axis elements, because the influence of length change is taken into account in the radial stability calculations.

(H3) The relative equilibrium configuration lies along the radial axis in the co-rotating coordinate frame.

Starting from Eq. (8) the position of an arbitrary point of the cable can be given by

$$\mathbf{r}(s) = \mathbf{r}_{gs} + \int_{s_{gs}}^s (1 + \varepsilon(\sigma)) \begin{pmatrix} \cos \varphi(\sigma) \\ \sin \varphi(\sigma) \end{pmatrix} d\sigma. \quad (12)$$

Its variation reads

$$\delta \mathbf{r}(s) = \int_{s_{gs}}^s (1 + \varepsilon(\sigma)) \begin{pmatrix} -\sin \varphi(\sigma) \\ \cos \varphi(\sigma) \end{pmatrix} \delta \varphi(\sigma) d\sigma, \quad (13)$$

and the second variation is

$$\delta^2 \mathbf{r}(s) = \int_{s_{gs}}^s (1 + \varepsilon(\sigma)) \begin{pmatrix} -\cos \varphi(\sigma) \\ -\sin \varphi(\sigma) \end{pmatrix} (\delta \varphi(\sigma))^2 d\sigma. \quad (14)$$

From Eq. (13) it follows, that the first variation of the radius  $r(s) = \sqrt{r^2(s)}$  along the radial configuration ( $\varphi \equiv 0$ ) vanishes, because  $\mathbf{r}(s)$  and  $\delta \mathbf{r}(s)$  are orthogonal

$$\mathbf{r}(s) \delta \mathbf{r}(s) = \mathbf{r}(s) \delta \mathbf{r}(s) = 0. \quad (15)$$

From Eq. (14) we conclude, that

$$\delta^2 r(s) \begin{cases} \leq 0 & \text{for } s \geq s_{gs}, \\ \geq 0 & \text{for } s \leq s_{gs}. \end{cases} \quad (16)$$

Next we consider all entries in the amended potential:

- The contribution from the elastic potential  $U$  vanishes due to assumption (H2).
- The contribution from the kinetic energy term  $T = \mu_0^2/2\mathcal{J}$ .

With the relations

$$\begin{aligned} \delta \mathcal{J} = & 2m_0 r(s_0) \delta r(s_0) + 2 \int_{s_0}^{s_1} \varrho A r(\sigma) \delta r(\sigma) d\sigma \\ & + 2m_1 r(s_1) \delta r(s_1) = 0, \end{aligned} \quad (17)$$

$$\begin{aligned} \delta^2 \mathcal{J} = & 2m_0 r(s_0) \delta^2 r(s_0) + 2 \int_{s_0}^{s_1} \varrho A r(\sigma) \delta^2 r(\sigma) d\sigma \\ & + 2m_1 r(s_1) \delta^2 r(s_1), \end{aligned} \quad (18)$$

where we made use of Eq. (15), we obtain

$$\delta T = \frac{-\mu_0^2 \delta \mathcal{J}}{2 \mathcal{J}^2}, \quad (19)$$

$$\delta^2 T = \frac{\mu_0^2 (\delta \mathcal{J})^2}{\mathcal{J}^3} - \frac{\mu_0^2 \delta^2 \mathcal{J}}{2 \mathcal{J}^2} = -\xi^2 \frac{\delta^2 \mathcal{J}}{2}. \quad (20)$$

- The variations of the gravity potential

$$W = \frac{-m_0 k}{r(s_0)} - \int_{s_0}^{s_1} \frac{k \varrho A}{r(\sigma)} d\sigma - \frac{m_1 k}{r(s_1)}$$

are given by

$$\delta W = \frac{m_0 k \delta r(s_0)}{r^2(s_0)} + \int_{s_0}^{s_1} \frac{k \varrho A \delta r(\sigma)}{r^2(\sigma)} d\sigma + \frac{m_1 k \delta r(s_1)}{r^2(s_1)}, \quad (21)$$

$$\delta^2 W = \frac{m_0 k \delta^2 r(s_0)}{r^2(s_0)} + \int_{s_0}^{s_1} \frac{k \varrho A \delta^2 r(\sigma)}{r^2(\sigma)} d\sigma + \frac{m_1 k \delta^2 r(s_1)}{r^2(s_1)}, \quad (22)$$

where we again used Eq. (15).

By Eqs. (15), (17), (19) and (21) the first variation  $\delta V_{\mu_0}$  vanishes for all variations  $\delta \varphi(s)$ . Therefore we need only check the radial equilibrium conditions for the radial equilibrium state.

Combining Eqs. (20) and (22), we find

$$\delta^2 V_{\mu_0} = m_0 \left( \frac{k}{r^2(s_0)} - \xi^2 r(s_0) \right) \delta^2 r(s_0) + \int_{s_0}^{s_1} \left( \frac{k \varrho A}{r^2(\sigma)} - \xi^2 r(\sigma) \right) \delta^2 r(\sigma) d\sigma + m_1 \left( \frac{k}{r^2(s_1)} - \xi^2 r(s_1) \right) \delta^2 r(s_1).$$

Because  $k/r^2 - \xi^2 r$  has the same sign as  $\delta^2 r$ , the second variation of  $V_{\mu}$  is always positive for purely lateral variations. This completes the proof for the attitude stability of the radial configuration.

#### 4.2. Radial equilibrium conditions

Next we investigate the stability of the radial equilibrium configuration by considering the second variation of the amended potential with respect to radial variations.

Since we can safely neglect lateral variations, the expressions for the energies simplify considerably by setting  $\mathbf{r}(s) = r(s)\mathbf{e}_1$

$$V = \int_{s_0}^{s_1} \left( \frac{EA}{2} (r' - 1)^2 - \frac{k \varrho A}{r} \right) ds - \frac{m_0 k}{r(s_0)} - \frac{m_1 k}{r(s_1)} - \frac{m_s k}{r(s_{gs})},$$

$$T = \frac{\mathcal{J}}{2} \xi^2 = \frac{\mu_0^2}{2 \mathcal{J}},$$

$$\mathcal{J} = \int_{s_0}^{s_1} \varrho A r^2 d\sigma + m_0 r(s_0)^2 + m_1 r(s_1)^2 + m_s r(s_{gs})^2.$$

Inserting the first variations

$$\delta V = \int_{s_0}^{s_1} \left( EA(r' - 1) \delta r' + \frac{k \varrho A}{r^2} \delta r \right) ds + \frac{m_0 k}{r^2(s_0)} \delta r(s_0) + \frac{m_1 k}{r^2(s_1)} \delta r(s_1) + \frac{m_s k}{r^2(s_{gs})} \delta r(s_{gs}),$$

$$\delta T = -\frac{\mu_0^2}{2 \mathcal{J}^2} \delta \mathcal{J} = -\frac{\xi^2}{2} \delta \mathcal{J},$$

$$\delta \mathcal{J} = \int_{s_0}^{s_1} 2 \varrho A r \delta r ds + 2 m_0 r(s_0) \delta r(s_0) + 2 m_1 r(s_1) \delta r(s_1) + 2 m_s r(s_{gs}) \delta r(s_{gs})$$

into

$$\delta V + \delta T = 0 \quad (23)$$

and performing integration by parts to remove  $\delta r'$  results in one field equation

$$E(A(r' - 1))' = \frac{k \varrho A}{r^2} - \xi^2 \varrho A r, \quad (24)$$

with the boundary and switching conditions

$$EA(r' - 1)|_{s_0} = \frac{km_0}{r^2(s_0)} - \xi^2 m_0 r(s_0), \quad (25a)$$

$$EA(r' - 1)|_{s_1} = -\frac{km_1}{r^2(s_1)} + \xi^2 m_1 r(s_1), \quad (25b)$$

$$EA(r' - 1)|_{s_{gs}}^+ = \frac{km_s}{r^2(s_{gs})} - \xi^2 m_s r(s_{gs}). \quad (25c)$$

From these equations the tension  $\sigma(s)$  in the string and the extension of the string can be calculated. Since the right-hand side in the jump condition (25c) vanishes, the normal force  $EA(r' - 1)$  remains continuous at the space station and the jump condition can be neglected in the computation of the equilibrium configuration. In order to have a minimum weight design, the cross section  $A(s)$  of the string is varied such that the tension

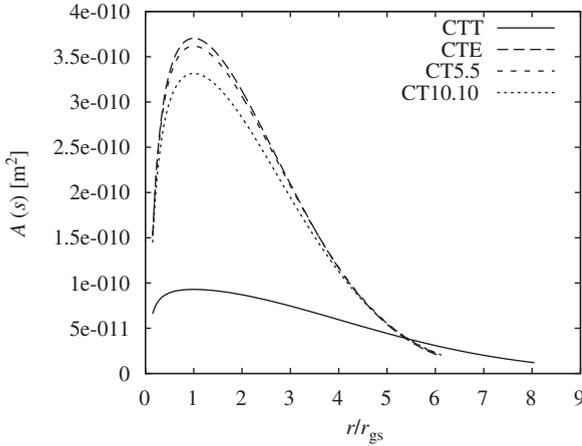


Fig. 6. Cross-sectional areas of four strings (1), (2), (4) and (5) made from carbon nanotubes according to Table 3 and for end masses of 1 kg.

Table 1  
Taper ratios for the cases in Table 3.

Case	Taper ratio	$A(r_0)$ (m <sup>2</sup> )	$A(r_{gs})$ (m <sup>2</sup> )
CTT	1.40	$6.5e - 11$	$9.2e - 11$
CTE	2.41	$1.5e - 10$	$3.6e - 10$
CT5.5	2.37	$1.5e - 10$	$3.6e - 10$
CT10.10	2.27	$1.4e - 10$	$3.3e - 10$

$\sigma$  reaches its maximum admissible value in each cross section, that is

$$\sigma = E(r' - 1) \equiv \sigma_c.$$

From this relation follows

$$r' - 1 = \sigma_c/E. \quad (26)$$

Inserting Eq. (26) into Eq. (24) results in

$$A'/A = \frac{Q}{\sigma_c}(k/r^2 - \xi^2 r). \quad (27)$$

From Eq. (27) the shape of the string between the two end masses can be calculated. It is shown in Fig. 6 for carbon nanotubes for four different values of  $\sigma_c$  given in Table 3 and end masses of 1 kg each.

The string with the higher admissible stress has a cross-sectional area of  $6.6 \times 10^{-11} \text{m}^2$  at the surface of the Earth. At the geostationary height the cross-sectional area is  $9.3 \times 10^{-11} \text{m}^2$ , thus giving the taper ratio 1.41, which is a little bit smaller than the ratio given in Edwards [5]. The taper ratios for the cases numbered in Table 3 are listed in Table 1.

### 4.3. Determination of orbital stability of the radial relative equilibrium position

To guarantee stability of the radial relative equilibrium position it is necessary and sufficient that the second variation of Eq. (1) is positive definite (Krupa et al. [18]). Inserting

$$\delta^2 V = \int_{s_0}^{s_1} \left( EA(\delta r')^2 - \frac{2kQA}{r^3}(\delta r)^2 \right) ds - \frac{2m_0k}{r^3(s_0)}(\delta r(s_0))^2 - \frac{2m_1k}{r^3(s_1)}(\delta r(s_1))^2 - \frac{2m_2k}{r^3(s_{gs})}(\delta r(s_{gs}))^2,$$

$$\delta^2 T = \frac{\mu_0^2}{\mathcal{J}^3}(\delta \mathcal{J})^2 - \frac{\mu_0^2}{2\mathcal{J}^2}\delta^2 \mathcal{J},$$

$$\delta \mathcal{J} = \int_{s_0}^{s_1} 2QA\delta r ds + 2m_0r(s_0)\delta r(s_0) + 2m_1r(s_1)\delta r(s_1) + 2m_s r(s_{gs})\delta r(s_{gs}),$$

$$\delta^2 \mathcal{J} = \int_{s_0}^{s_1} 2QA(\delta r)^2 ds + 2m_0(\delta r(s_0))^2 + 2m_1(\delta r(s_1))^2 + 2m_s(\delta r(s_{gs}))^2$$

into  $\delta^2 V + \delta^2 T$ , we have to check the positive definiteness. It is explained in Krupa et al. [18] that this can be done at least in two different ways. We take the approach, where a minimization problem is formulated, because this results in an accurate determination of the smallest eigenvalue, the sign of which determines the stability of the configuration [18].

Hence we formulate the quadratic minimization problem

$$\min_{\|\delta r\|_w^2=1} (\delta^2 V + \delta^2 T)(\delta r, \delta r'),$$

where

$$\|\delta r\|_w^2 = \int_{s_0}^{s_1} \rho A \delta(r(s))^2 ds + m_0(\delta r(s_0))^2 + m_1(\delta r(s_1))^2 + m_s(\delta r(s_{gs}))^2. \quad (28)$$

As outlined in [19], we reformulate this isoperimetric minimization problem as Optimal Control problem, because this formulation gives slightly simpler equations than the analogous Lagrangian formulation. After some calculations we obtain the boundary value problem

$$\delta r' = \delta N/(EA), \quad (29a)$$

$$\delta N' = \left( \frac{-2k}{r^3} - \xi^2 - \lambda \right) QA\delta r - 2QA\xi r\delta \xi, \quad (29b)$$

$$s = s_0 : \delta N = m_0 \left( \frac{-2k}{r^3} - \xi^2 - \lambda \right) \delta r - 2m_0 \xi r \delta \xi, \quad (29c)$$

$$s = s_1 : \delta N = -m_1 \left( \frac{-2k}{r^3} - \xi^2 - \lambda \right) \delta r + 2m_1 \xi r \delta \xi, \quad (29d)$$

$$s = s_{gs} : \delta N(s_{gs}^+) = \delta N(s_{gs}^-) + m_s \left( \frac{-2k}{r^3} - \xi^2 - \lambda \right) \delta r - 2m_s \xi r \delta \xi, \quad (29e)$$

with the scaling condition

$$1 = \|\delta r\|_w^2. \quad (29f)$$

$\delta N$  denotes the virtual axial force in the string. Positive eigenvalues  $\lambda$  assure stability.

Comparing Eq. (29) with Eqs. (24) and (25), we find that Eq. (29) is just the eigenvalue problem for the linearization of the boundary value problem (24) and (25).

### 5. Numerical results

We consider first the string without a satellite ( $m_s=0$ ) at the geostationary height. In Fig. 7 the smallest eigenvalue of Eq. (2) is depicted against the ratio  $r_0/r_{gs}$ . If this ratio is equal to 1, the string is of zero length and if it is equal to 0.151, the inner radius  $r_0$  is equal to the radius of the Earth (see also Fig. 10). Hence in this

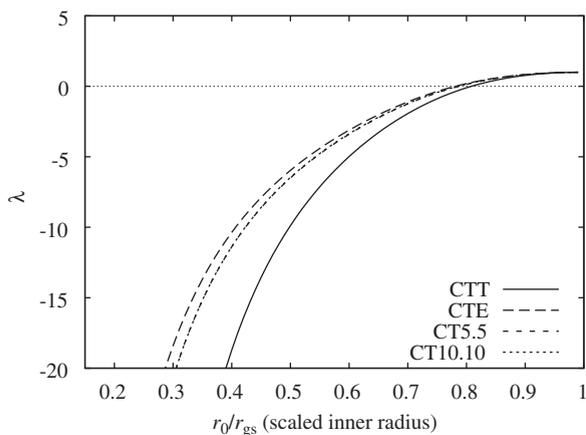


Fig. 7. Smallest eigenvalue  $\lambda$  for the string without intermediate satellite ( $m_s=0$ ) depicted against the ratio  $r_0/r_{gs}$  for four different materials. Only for length ratios, where  $\lambda$  is positive, the second variation of Eq. (2) is positive definite. For abbreviations in the Figure see Table 3.

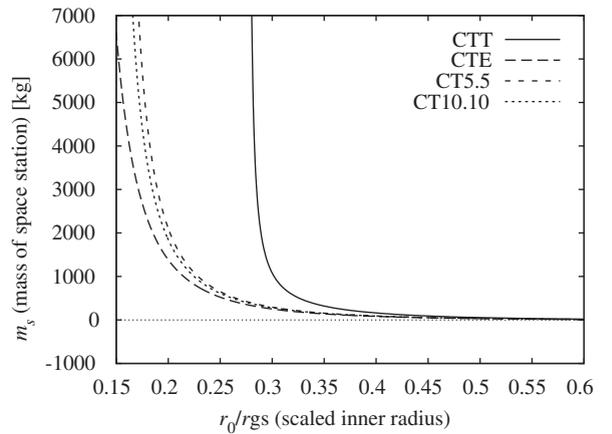


Fig. 8. Minimum mass  $m_s$  of the space station necessary to stabilize the radial relative equilibrium for the four strings (1), (2), (4) and (5) of Table 3.

case the string extending down from the geostationary height touches the surface of the Earth. We can see from Fig. 7, that for short string lengths the radial relative equilibrium of a string moving with geostationary angular velocity is stable for all materials, since  $\lambda$  is positive. Increasing the length of the string,  $\lambda$  becomes negative for all strings made from nanotubes, when the inner radius  $r_0$  decreases to approx.  $0.8 r_{gs}$ . Hence the radial relative equilibrium loses its stability.

Up to now we neglected the influence of the space station's mass ( $m_s = 0$ ) on the orbital stability. Since the geostationary orbit of the space station, without strings attached to it, is orbitally stable, a sufficiently heavy space station should be able to stabilize the configuration.

We proceed now in the following way: Keeping the eigenvalue  $\lambda \equiv 0$  and regarding  $m_s$  as additional unknown variable, we calculate the necessary mass  $m_s$  of the space station, for increasing string length, to stabilize the radial configuration of the system. In Fig. 8, which is related to Fig. 7, we depict  $m_s$  for four strings made from carbon nanotubes listed in Table 3. At the length, where the radial string configuration without intermediate satellite loses stability, the mass necessary for stabilization becomes nonzero and grows for increasing string length. The interesting result is obtained that three of the four strings are too soft and consequently no stable radial equilibrium configuration exists, no matter how big the mass of the space station is selected. This does not mean that the central mass deviates from the circular orbit, but there is no stable radial configuration of the string. The reason for this—at the first moment surprising—result is explained with a simple example in Appendix B.

## 6. Conclusions

The main result of our investigation is that the radial relative equilibrium of a tapered string made from carbon nanotubes moving on a circular geostationary orbit and reaching from the surface of the Earth into space is orbitally unstable, as can be seen in Fig. 7. However, its configuration can be stabilized by attaching to it a sufficiently heavy satellite in geostationary height (Fig. 8). We calculated the minimum mass necessary for this satellite to achieve stabilization. Moreover, we also obtained the practically important result that for too soft strings the stabilization of the orbitally unstable relative equilibrium is not possible, because due to the large extension no stable relative equilibrium exists.

## Acknowledgement

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## Appendix A. Carbon nanotubes

Almost in all calculations concerning the space elevator presented in the literature, the theoretically evaluated strength and material data of carbon nanotubes has been used. However, like any industrial product they will be imperfect and hence it is interesting to see what the influence on the taper ratio of the string would be, if the string is made from imperfect carbon nanotubes. We give a short fracture mechanics calculation, how the strength and Young's modulus may be changed if defective carbon nanotubes are used. More details can be found in the indicated literature e.g. [21,22].

### A.1. Young's modulus

We consider a single nanotube having thickness  $t$ , radius  $r$  and length  $l$ , under tension  $\sigma$  (or force  $F = 2\pi r t \sigma$ ) containing a nanocrack of length  $2a$  orthogonal (most critical configuration) to the applied load. The variation of the total potential energy  $V$  induced by the presence of the crack is  $\delta V = \delta U - F \delta u$ , where  $U$  is the strain energy stored in the nanotube and  $u = F/S$  is the elastic displacement; thus  $S$  is the nanotube's stiffness, i.e.,  $S = 2\pi r t E/l$ , with  $E$  denoting Young's modulus. Applying Clapeyron's Theorem (see [21])  $\delta U = F \delta u/2$  and consequently

$$\delta V = \frac{F^2 \delta S}{2S^2}$$

(the same result can be deduced for controlling the displacement rather than controlling the force). Furthermore, according to fracture mechanics  $dV = -G dA$ , where  $A$  is the crack surface area, i.e. in our case,  $A = 2at$ , and  $G$  is the energy release rate (see [21]). According to fracture mechanics the crack will propagate when  $G$  reaches a critical value  $G_C$ , the so-called material fracture energy (per unit area). The energy release rate is related to the stress-intensity factor  $K$  at the tip of the crack (derivable for different configurations from the stress-intensity factor given in technical Handbooks) via Irwin's correlation (see [21])  $G = K^2/E$ . Let us consider the presence of an isolated crack. For simplicity we neglect the energy associated with the nanotube's circumferential curvature as well as the crack tip's self-interactions. Then  $K = \sigma \sqrt{\pi a}$ , since this case is analogous to the well-known Griffith's case (see [21]). Consequently, equating the two expressions for  $\delta V$ , i.e.,

$$\frac{F^2 \delta S}{2S^2} = -2t \int_0^a G(a) da,$$

we deduce the change of Young's modulus due to the presence of the crack of half-length  $a$  (subscript  $a$ ) compared to its theoretical (subscript  $th$ , i.e. defect-free) value in the following simple form:

$$\frac{E_a}{E_{th}} = 1 - \frac{a^2}{rl}.$$

Next we assume the presence of an additional transversal crack of half-length  $b$ , not interacting with the previous one. According to our previous result

$$\frac{E_{a \oplus b}}{E_a} = 1 - \frac{b^2}{rl} \equiv \frac{E_b}{E_{th}},$$

where  $E_{a \oplus b} \equiv E_{b \oplus a}$  denotes Young's modulus of the nanotube containing the two non interacting transversal cracks. Thus, we derive

$$\frac{E_{a \oplus b}}{E_{th}} = \frac{E_a}{E_{th}} \frac{E_b}{E_{th}} = \left(1 - \frac{a^2}{rl}\right) \left(1 - \frac{b^2}{rl}\right).$$

For interacting cracks the previous approach remains valid if  $K = \sigma \sqrt{\pi a}$  is substituted with the corresponding value of the stress-intensity factor at the tips of two interacting cracks, which can be found in proper Handbooks. However, to have an idea of the possible role of the interaction we note that it will be maximal for collinear coalescing cracks. Thus at the coalescence,

$$\frac{E_{a+b}}{E_{th}} = 1 - \frac{(a+b)^2}{rl}$$

Table 2  
Coefficients necessary to calculate the Young's modulus for defective carbon nanotubes .

$(m, p)$	$r$	$k_1 (n_1 = 1)$	$k_2 (n_2 = 2)$	$k_3 (n_3 = 3)$
(5,5)	3.39	<b>0.45</b> 1.2 1.2	<b>1.79</b> 1.4 1.7 2.8	<b>4.02</b> 1.8 2.2 3.6
(9,0)	3.53	<b>0.43</b> 1.1 1.1	<b>1.71</b> 1.2 1.3 2.1	<b>3.86</b> 1.6 2.4 3.6
(10,10)	6.79	<b>0.22</b> 0.8 0.5	<b>0.89</b> 1.0 0.7 1.3	<b>2.01</b> 1.2 1.0 1.5
(17,0)	6.67	<b>0.23</b> 0.8 0.5	<b>0.91</b> 1.0 0.7 1.0	<b>2.04</b> 1.2 1.2 1.7

For defects with reconstructed vacancies (new bonds have formed although the atoms are missing) in the first column the approach presented in this paper is given by bold numbers and in the second column data from atomistic simulations taken from [22] are given. In italic numbers the coefficients also taken from [22] for nonreconstructed vacancies (no new bonds have formed) are given. That there are two columns for 2 and 3 atoms missing, follows from the fact that there are two alternative orientations of the nonreconstructed defect (see [22] for details). All reported quantities are in Ångström ( $10^{-10}\text{m}$  (for  $(m,p)$  carbon nanotubes which we consider here;  $q \approx 0.246\text{ nm}$  [23] and  $r \approx 0.0392\sqrt{m^2 + p^2 + mp}\text{ nm}$ )).

and the maximum interaction is predicted to be

$$\frac{E_{a\oplus b} - E_{a+b}}{E_{th}} = \frac{a^2b^2 + 2abrl}{r^2l^2} \approx \frac{2ab}{rl},$$

where the last approximation is valid only for small crack lengths (with respect to  $r$  and  $l$ ).

We are now ready to derive a general law. Let us consider  $N$  cracks having the size  $a_i$  or, and that is the same,  $M$  different cracks with multiplicity  $N_i$  ( $N = \sum_{i=1}^M N_i$ ). Let  $n_i = 2a_i/q$  represent the number of adjacent vacancies in the crack of half-length  $a_i$ ,  $q$  be the atomic size, and

$$f_i = (N_i n_i) / (2\pi r l / q^2) \tag{30}$$

be its related numerical vacancy fraction. Then we can write (the approximations are valid for small cracks)

$$\begin{aligned} \frac{E}{E_{th}} &= \prod_{i=1}^N \frac{E_{a_i}}{E_{th}} = \prod_{i=1}^N \left(1 - \frac{a_i^2}{rl}\right) = \prod_{i=1}^M \left(1 - \frac{a_i^2}{rl}\right)^{N_i} \\ &\approx 1 - \sum_{i=1}^M \frac{N_i a_i^2}{rl} = 1 - \frac{\pi}{2} \sum_{i=1}^M f_i n_i. \end{aligned} \tag{31}$$

We note that our treatment can be viewed as a generalization of the approach proposed in [22], being able to quantify the constants  $k_i$  fitted by atomistic simulations in [22] for three different types of defects. In particular, rearranging Eq. (31) and in the limit of three small cracks, we deduce

$$\frac{E_{th}}{E} \approx 1 + k_1 c_1 + k_2 c_2 + k_3 c_3, \tag{32}$$

which is identical to Eq. (15) in [22], in which  $c_i = N_i/l$  is the linear defect concentration and  $k_i = n_i^2 q^2 / (4r)$ . The authors of [22] consider 1, 2 and 3 atoms missing, with and without reconstructed bonds. For nonreconstructed bonds two alternative defect orientations were

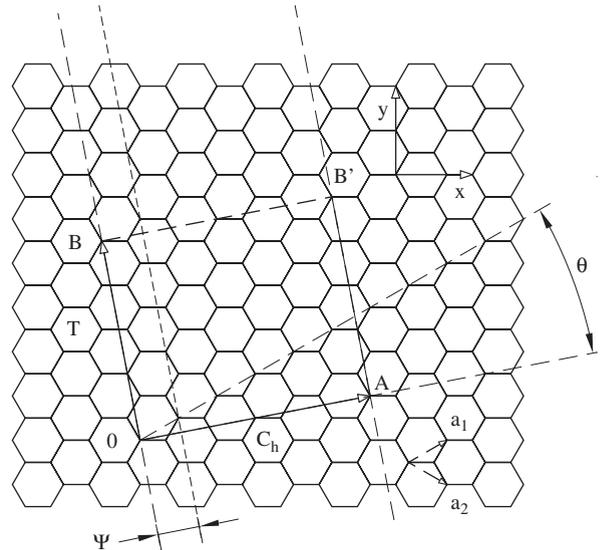


Fig. 9. The chiral vector  $\overline{OA}$  given by  $C_h = ma_1 + pa_2$  is defined on the honeycomb lattice of carbon atoms. Here a  $(m, p) = (4, 2)$  case is shown. Rolling the corresponding part of the lattice into a cylinder yields the nanotube. The figure is taken from [20].

investigated for 2 and 3 atoms missing (for details see [22]). Even if their defect geometries are much more complex than our considered nanocrack, the comparison between our approach and their atomistic simulations shows good agreement, as summarized in Table 2. The characterization of the nanotubes is given by the pair  $(m, p)$  according to Fig. 9.

Thus, if only one type of crack, formed by  $n$  adjacent vacancies, is present with fraction  $f$  in a space elevator cable, its Young's modulus  $E(f, n)$  satisfies

$$\frac{E(f, n)}{E_{th}} \approx 1 - \frac{\pi}{2} f n. \tag{33}$$

A.2. Strength

Contrary to the stiffness, the strength is influenced only by the most critical defect (i.e., is not a function of  $f$ ); applying quantized fracture mechanics [23] ( $G^* = -dV/dA = G_C$ ) for the crack propagation we have found for the failure stress  $\sigma_f^{(NT)}$  of a single nanotube

$$\frac{\sigma_f^{(NT)}}{\sigma_{th}} \approx \frac{1}{\sqrt{1+n}}.$$

Thus, by imposing the longitudinal equilibrium of the entire cable, we derive its strength  $\sigma_f(v, n)$  according to

$$\frac{\sigma_f(v, n)}{\sigma_{th}} \approx 1 - v \left( 1 - \frac{1}{\sqrt{1+n}} \right), \quad (34)$$

in which  $v$  represents the fraction of defective nanotubes in the cable (we expect  $v \approx 1$ ).

We give some numerical examples:

- (1) *Example 1.* We assume that only one crack, formed by three missing atoms, is present. Further the length of the tube is  $l = 1$  cm. Then from the relations given above, the cracklength  $2a = 3q$ , the defect concentration  $c = N/l = \frac{1}{10^{-2}} (1/\text{m}) = \frac{1}{10^8} (1/\text{\AA})$ . The coefficient  $k_3 = 4.02$  follows from Table 1 for a  $(m, p) = (5, 5)$  nanotube. Inserting into Eq. (32) leads to

$$\begin{aligned} \frac{E_{th}}{E} &\approx 1 + k_3 c_3 = 1 + 4.02 \text{\AA} \frac{1}{10^8} \text{\AA}^{-1} \\ &= 1 + 4.0210^{-8} \approx 1. \end{aligned}$$

- (2) *Example 2.* Same assumption as in Example 1 but now we use relation (33). With  $q = 0.246$  nm we get for  $r \approx 0.0392\sqrt{m^2 + p^2 + mp} = 0.0392\sqrt{75}$ . Hence from Eq. (30) follows:

$$\begin{aligned} f &= (Nn)/(2\pi r l / q^2) = \frac{1 \cdot 3 \cdot 0.246^2}{2\pi \cdot 0.0392\sqrt{75} \times 10^7} \\ &= 8.511 \times 10^{-9}. \end{aligned}$$

Inserting into Eq. (33) we obtain

$$\frac{E(f, n)}{E_{th}} \approx 1 - \frac{\pi}{2} f n = 1 - 1.3369 \times 10^{-8} \approx 1.$$

- (3) *Example 3.* For the reduction in strength for a single defect, as assumed in the two former calculations,

we obtain from Eq. (34), where we set  $v \approx 1$

$$\begin{aligned} \frac{\sigma_f(v, n)}{\sigma_{th}} &\approx 1 - v \left( 1 - \frac{1}{\sqrt{1+n}} \right) \\ &= \frac{1}{\sqrt{1+n}} = \frac{1}{\sqrt{1+3}} = \frac{1}{2}. \end{aligned}$$

- (4) *Example 4.* Now we calculate a more realistic case concerning the occurrence of defects. We assume that the defect concentration  $c_1 = c_2 = c_3 = \frac{1}{25} \text{\AA}^{-1}$ , which are the values given in [22], for which the strongest reduction is achieved. The corresponding values for  $k_i$  follow for  $(m, p) = (5, 5)$  from Table 2. Inserting into Eq. (32) we obtain

$$\begin{aligned} \frac{E_{th}}{E} &\approx 1 + k_1 c_1 + k_2 c_2 + k_3 c_3 \\ &\approx 1 + \frac{1}{25} (0.45 + 1.79 + 4.02) \approx 1.25 \end{aligned}$$

which gives

$$\frac{E}{E_{th}} \approx 0.8.$$

This material is denoted by CT5.5.

- (5) *Example 5.* In order to validate our calculations and the corresponding results we also compare them with data of carbon nanotubes given by [24] which are:  $E = 600$  GPa and  $\sigma_c = 45$  GPa. This data corresponds to  $(m, p) = (10, 10)$  nanotubes and with Eq. (32) we obtain

$$\begin{aligned} \frac{E_{th}}{E} &\approx 1 + k_1 c_1 + k_2 c_2 + k_3 c_3 \approx 1 \\ &+ \frac{1}{25} (0.22 + 0.89 + 2.01) \approx 1.125 \end{aligned}$$

or

$$\frac{E}{E_{th}} \approx 0.89.$$

This material is denoted by CT10.10.

From these numerical results we can conclude that small defects can strongly reduce the strength of a cable made of defective nanotubes (Example 3), as it is emphasized in a recent paper [9], whereas the stiffness almost is not affected at all (Examples 1 and 2). Since this result coincides with the experimental data given [7], we may conclude that the experiments have been performed for carbon nanotubes with few defects.

Finally we present some data for high strength steel, Boron fibre, carbon fibre, Kevlar and carbon nanotubes in Table 3. For the carbon nanotubes we present (1) the theoretically calculated values, (2) the experimental

Table 3  
Data for some high strength materials .

Material	Abbr.	$\sigma_c$ (GPa)	$E$ (GPa)	$\rho$ (kg/m <sup>3</sup> )	$\varepsilon_c$
Steel	St	1–5	200	7900	0.025
Boron fiber		3.5	400	2450	0.087
Kevlar		3.6	127	1440	0.028
Carbon fiber	CF	2–5	250–830	1850	0.008
CNT (theoretical) (1)	CTT	150	630	1300	0.238
CNT (experimental) (2)	CTE	65	630	1300	0.103
CNT [24] (3)	CTK	45	600	1300	0.075
CNT (see Example 4) (4)	CT5.5	75	503	1300	0.129
CNT (see Example 5) (5)	CT10.10	75	560,1	1300	0.122

$\sigma_c$ : tensile strength;  $E$ : Young’s modulus;  $\rho$ : density;  $\varepsilon_c$ : strain related to  $\sigma_c$ . (The reduction of strength for CT5.5 and CT10.10 has been calculated with the value following from Example 3, whereas the stiffness reduction follows from the values from Example 4.)

values, (3) values given in [24] and (4) and (5) are two sets of values calculated for a defective nanotube in Example 4. The difference in the values (4) and (5) can be explained by the different size of the tube for the same defect concentration. More detailed calculations can be found in [9].

**Appendix B. Spring pendulum in geostationary orbit**

We consider the simple spring pendulum sketched in Fig. 10. If it moves on a circular orbit in its radial relative equilibrium position with geostationary angular velocity, the equilibrium equation reads

$$c(r_{gs} - r_0 - l_0) = \frac{km}{r_0^2} - mr_0\omega^2.$$

Inserting the angular velocity of the geostationary orbit

$$\omega^2 = \frac{k}{r_{gs}^3}$$

we obtain

$$\frac{c}{km}(r_{gs} - r_0 - l_0) + \frac{r_0}{r_{gs}^3} = \frac{1}{r_0^2},$$

which we rewrite as

$$\gamma(a - br_0) = \frac{1}{r_0^2}, \tag{35}$$

where

$$\gamma = \frac{c}{km}, \quad a = r_{gs} - l_0 \quad \text{and} \quad b = 1 - \frac{1}{\gamma r_{gs}^3}.$$

We introduce the scaling  $r_0 = \alpha r$  into Eq. (35) to obtain

$$\alpha^2\gamma a - \alpha^3 b \gamma r = \frac{1}{r^2}. \tag{36}$$

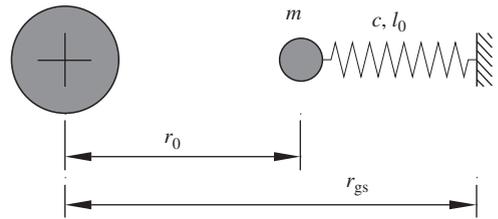


Fig. 10. Spring pendulum in its radial relative equilibrium position in geostationary orbit.

Setting

$$\alpha^2\gamma a = 1 \quad \text{or} \quad \alpha = \frac{1}{\sqrt{a\gamma}}$$

we obtain from Eq. (36)

$$1 - \beta r = \frac{1}{r^2}, \tag{37}$$

where

$$\beta = \frac{\alpha b}{a}.$$

Eq. (37) has a solution only if  $\beta$  is small enough, because if  $\beta$  is too large, there is no intersection between the straight line  $1 - \beta r$  and the hyperbola  $1/r^2$ .

If we resubstitute the physical quantities, it follows that the stiffness  $c$  must be large enough, meaning that the extension is below a certain limit.

This agrees with the result, which was obtained for the continuous case.

Intuitively this astonishing result is easy to understand from Fig. 11, where we draw the hyperbola  $1/r^2$  and the lines  $1 - \beta r$  for different values of  $\beta$ . If these curves intersect, we have a stable and an

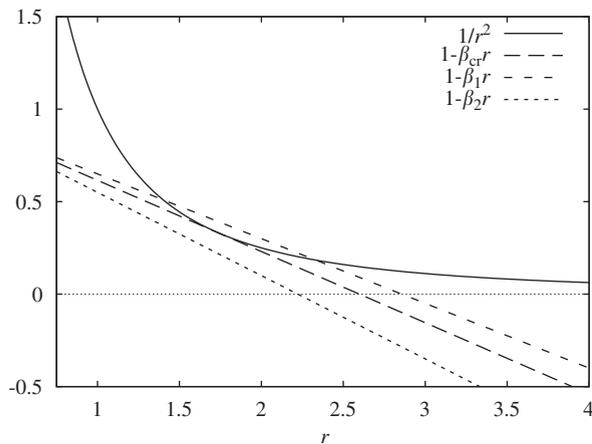


Fig. 11. Graph of the curves  $1/r^2$  and  $1-\beta r$  for  $\beta=\beta_{cr}$ ,  $\beta_1 < \beta_{cr}$  and  $\beta_2 > \beta_{cr}$ . For  $\beta > \beta_{cr}$  there is no intersection, hence no equilibrium exists.

unstable equilibrium. At  $\beta_{cr} = 2/\sqrt{27}$  these equilibria coalesce and for  $\beta > \beta_{cr}$  no equilibrium exists.

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